

Form PTO-1390 P21519.P01		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P21519
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/926513</b>
INTERNATIONAL APPLICATION NO. PCT/DE00/01310	INTERNATIONAL FILING DATE 21 April 2000	PRIORITY DATE CLAIMED 14 May 1999	
TITLE OF INVENTION METHOD OF PRODUCING ALUMINUM OXIDES AND PRODUCTS OBTAINED ON THE BASIS THEREOF			
APPLICANT(S) FOR DO/EO/US Andreas KRELL and Hongwei MA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "UNEXECUTED"</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).</p>			
<b>Items 11 to 16 below concern other document(s) or information included:</b>			
11. Assignee: <u>FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG E.V., of München, GERMANY</u>			
12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
14. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
15. <input type="checkbox"/> A substitute specification.			
16. <input type="checkbox"/> A change of power of attorney and/or address letter.			
17. <input type="checkbox"/> Figure of Drawing to be published _____			
18. <input checked="" type="checkbox"/> Other items or information:			
International Application as published (in German). PCT/RO/101 PCT-EASY (in German). Cover Letter under 35 U.S.C. 371 AND 37 C.F.R. 1.495. PCT/ISA/210 (in German). PCT/ISA/220 (in German). PCT/IPEA/416 (in German). PCT/IPEA/409 (with seven sheets of amended pages in German). PCT/IB/301. PCT/IB/304. PCT/IB/332. PCT/IB/308. Claim of Priority.			

U.S. APPLICATION NO. (If known, see 37  
I.5)

09/926513

INTERNATIONAL APPLICATION NO.

PCT/DE00/01310

ATTORNEY'S DOCKET NUMBER

P21519

19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. . . . . \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but  
international search fee paid to USPTO(37 CFR 1.445(a)(2)). . . . . \$ 740.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2) paid to USPTO. . . . . \$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

Claims

Number Filed

Number Extra

RATE

\$ 0.00

Total Claims

- 20 =

X \$18.00

\$ 0.00

Independent Claims

- 3 =

X \$84.00

\$ 0.00

Multiple dependent claim(s) (if applicable)

+ \$280.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

     Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced  
by 1/2.

\$ 0.00

SUBTOTAL =

890.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

0.00

Extension of Time fee in the amount of \$

0.00

TOTAL NATIONAL FEE =

890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

0.00

TOTAL FEES ENCLOSED =

890.00

Amount to be  
refunded

\$

Charged

\$

a. ☒ A check in the amount of \$ 890.00 to cover the above fees is enclosed.

b.      Please charge my Deposit Account No.          in the amount of \$          to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and  
granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055  
AT THE PRESENT ADDRESS OF:

Neil F. Greenblum  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



07055

PATENT TRADEMARK OFFICE

SIGNATURE

Neil F. Greenblum  
NAME

28,394

REGISTRATION NUMBER

09/926513

JG17 Rec'd PCT/PTO 13 NOV 2001

P21519

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : A. KRELL et al.

Appl. No :Not Yet Assigned  
(U.S. National Phase of PCT/DE00/01310)

I.A.Filed: 21 April 2000

For : METHOD OF PRODUCING ALUMINUM OXIDES AND PRODUCTS OBTAINED  
ON THE BASIS THEREOF

**COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT  
APPLICATION FILED UNDER 35 U.S.C. 371  
AND 37 C.F.R. 1.495**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. Additionally, an English translation of the International Application, as filed, is not being forwarded herewith. A properly executed Declaration and Power of Attorney, and an English language translation of the International Application, as filed, will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to this, a correspondence address is provided in  
the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

09/926513

JC17 Rec'd PCT/PTO 13 NOV 2001

If there any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted,  
A. KRELL et al.



Neil F. Greenblum  
Reg. No. 28,394

*Reg. No. 33,094*

November 13, 2001  
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P21519

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : A. KRELL et al.

Appl No. : Not Yet Assigned

PCT Branch

I.A. Filed : 21 April 2000

PCT/DE00/01310

For : METHOD OF PRODUCING ALUMINUM OXIDES AND PRODUCTS OBTAINED ON  
THE BASIS THEREOF

**CLAIM OF PRIORITY**

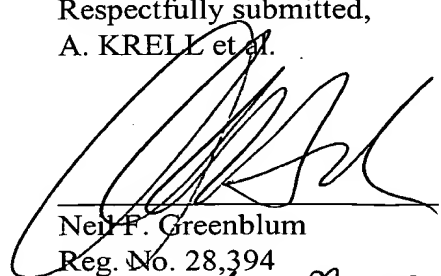
Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 based upon German Application No.199 22 492.7 filed 14 May 1999. The International Bureau already should have sent a certified copy of the German application to the United States designated office. If the certified copy has not arrived, please contact the undersigned.

Respectfully submitted,  
A. KRELL et al.

  
Neil F. Greenblum  
Reg. No. 28,394

November 13, 2001  
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